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SPECIAL ORDINANCE NO. S-

AN ORDINANCE approving CONSTRUCTION CONTRACT - BRANSTRATOR ELLISON ROAD WATER MAIN EXTENSION - RES. #66256, W.O. #66256 between ROTHENBERGER COMPANY and the City of Fort Wayne, Indiana, in connection with the Board of Public Works.

NOW, THEREFORE, BE IT ORDAINED BY THE COMMON COUNCIL OF THE CITY OF FORT WAYNE, INDIANA:

SECTION 1. That the CONSTRUCTION CONTRACT - BRANSTRATOR ELLISON ROAD WATER MAIN EXTENSION - RES. #66256, W.O. #66256 by and between ROTHENBERGER COMPANY and the City of Fort Wayne, Indiana, in connection with the Board of Public Works, is hereby ratified, and affirmed and approved in all respects, respectfully for:

All labor, insurance, material, equipment, tools, power, transportation, miscellaneous equipment, etc., necessary for: The installation of approximately 13,330 feet of 6" water main by open cut, 562 feet of 16" by horizontally directional drill, 505 feet of 16" by jack and bore, 40 feet of 12" by open cut, with 16 fire hydrants, and a pressure control station:

involving a total cost of ONE MILLION, FIVE HUNDRED SEVENTY-EIGHT THOUSAND, TWO HUNDRED FIFTY-FIVE AND 29/100 DOLLARS - (\$1,578,255.29). A copy of said Contract is on file with the Office of the City Clerk and made available for public inspection, according to law.

1	SECTION 2. That this Ordinance shall be in full force and effect
2	from and after its passage and any and all necessary approval by the Mayor.
3	
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6	Council Member
7	ADDDOVED AS TO FORM AND A FOALUTY
8	APPROVED AS TO FORM AND LEGALITY
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10	Carol Helton, City Attorney
11	Caror rieiton, City Attorney
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	PROJECTI	Branstutor Elligg	Road Wat	er Main Extension						1	<u> </u>	ļ		<u> </u>	<u> </u>
-	Resolution#:	66256							-	<u> </u>				 	
	Werk Order#: Designer	66255 Chris Wat				-	 	 	1			<u> </u>			
	Construction Manager	Andi Adams		T				 				1		-	1
	Manager	Mike Kiester	T	l									Ī		
<u> </u>	Bid Date: (Quote Date)	Nevember 19, 20	(4							<u> </u>					
ļ	Foreing	Water				ļ	 -	ļ <u>.</u>			ļ				1
			T	PNOWEFFE	P POTTO LLTP	Dark.	L				<u> </u>		<u> </u>	 	
lieni	BID	A	Unit	ENGINEER"	Estension	Unit Frica	berger Co	Attas Unit Price	rtavaling Veterior	Unit Price	Estantes	Deniga Unis Price	Brothers	i Layn Unit Price	e loiber
1	Description Mobilization and Demobilization (5%	Quantity	is	\$45,501,91	\$ 45,901.91	\$ 23,000,00	1		Extension \$ 25,000,00	5 66,14431	\$ 66,344.31	1	Extension S 96.800,09	1	\$ 65,000.00
2	Mwdmum) Work Allowaree	1	t.s	\$50,000,00	s 50,000,00	\$ 50,000.00		 	 	\$ 50,000.66	 	ļ	\$ 50,000.00	\$ 50,000,00	
			-	 					 		 	 	 -		
<u> </u> '	Maintenance and Protestion of Traffic	<u>'</u>	LS	\$10,000,00	\$ 10,000.00	\$ 5,000,00		5 77,436,00		\$ 19,864.30		\$ 27,500.00	\$ 27,360,00	\$ \$300.00	
	Temporary Erosion & Sediment Control	1	LS	SIRJOCO.CO	\$ 10,000.00	\$ 6,000,00	\$ 6,000,00	\$ 10,540,10	\$ 10,540.60	\$ 6,306.33	\$ 6,266,53	5 22,000,00	\$ 23,000,00	\$ 28,690,00	\$ 20,000,00
5	Video Documentation of Conditions	1	1.5	\$4,000,00	\$ 4,000,00	\$ 750,00	\$ 750.00	5 1,770,60	5 \$,730,00	\$ 5,220.54	\$ 5,220,54	\$ 4,959.00	\$ 4,950,00	5 2,700,00	\$ 2,700,00
6	Clearing and Grubbing	1	1.5	\$10,000,000	\$ 10,000,00	5 15,000,00	\$ 15,600,00	\$ 17,355.00	\$ 17,355.00	S 4,656.00	\$ 4,656,00	\$ 16,500,60	\$ 15,500,50	\$ 20,000,00	\$ 50,000,00
7	HMA Paring (4" HMA, 3' binder and 1" surface, 14" No. 53 Comp. Bass)	230	SYD	\$70.6n	\$ 17,500,00	\$ 45,00	\$ 11,250,00	\$ 42,00	\$ 10,500.00	\$ 41.25	\$ 10,312.50	\$ 44,00	\$ 11,000,00	\$ \$00.00	\$ 25,000,00
3	Asphalt Pavement Patching (4" HMA, 3"	20	SYD	\$70.05	\$ 1,400.00	1 45.00	\$ 900.00	\$ 249,00	\$ 4,650,00	\$ 50.73	\$ 1,014,60	\$ 44.00	\$ 550.00	5 150,00	\$ 7,000.00
<u> </u>	binder and 1" surface, 14" Ho. 53 Comp. Base)		3.0	27002	3 7,700,00	1 4200		240,00	3 4,6,8,00	- 30.13	1,01000	44.50	3 83000		3 3,0000
9	Orașel Drive Restoration (Full Depth of Trench)	Ø	SYD	\$50.60	\$ 3,930,00	\$ 45,00	\$ 2,700,50	\$ 72.00	\$ 4,320.00	s 6831	\$ 4,058,60	\$ 22.00	\$ 1,320,00	\$ 35,00	\$ 2,100,00
10	Mulched Seeding (Lawns and Grames)	2,200	SYD	52.50	\$ 5,500,00	\$ 4.99	\$ \$LEND,NO	5 4,00	\$ \$,200,00	\$ 3.93	5 8,616.00	5 3.30	\$ 7,260,00	\$ 19,00	\$ 22,600.00
Ιt	Mukhed Seeding (Wetland Mix)	560	SYD	02.03	\$ 1,750.00	\$ 4.00	\$ 3,100,00	\$ \$,00	\$ 2,500,00	\$ 439	\$ 2,195,00	\$ 7,30	\$ 3,850,00	\$ 29.00	\$ 10,000,00
12	Jack and Bore Utility Pipe Jacking, DR 11	565	LFT	03,0023	\$ 352,500.00	\$ 364.00	\$ 183,829.00	\$ 687,00	\$ 346,935,00	\$ 46131	S 215,991,55	5 462.00	S 233,310,00	\$ 615.00	\$ 310,573,60
13	ITOPE 12° DR 18, PVC Pressure Utility Piping.	20	LFT	\$85,00	5 1,760.00	\$ 60.02	\$ 5,210,40	\$ 33,00	\$ 600.00	¥ 120,24	\$ 2,405,60	5 132,00	\$ 2,649.00	\$ 60,00	\$ 1,200,00
_	All Depths 16" DR 18, PVC Pressure Villity Piping.								 						
14	All Depths	13,340	LFT	\$75.60	\$ 1269.200.00	\$ 53,38	5 713,156.20	\$ 14,60	\$ 587,245.00	\$ 59.34	\$ 792,782,40	\$ 69.30	\$ 925,848,60	\$ 67.00	
15	12" Dacüle Iron Fittings Restrained Joint Installation	1	£λ	\$1,500,00	3 3,000.00	\$ 1,105,00	\$ 2,210,00	S 1,083.00	\$ 2,165,00	\$ 544.92	5 1,059.24	\$ 440.00	\$ 850.00	S 1,120,00	\$ 2,240,09
16	16" Duetile Iron Fittings Restrained Joint Installation	20	EA	\$2,000,00	\$ 47,500.03	S 2,323.20	\$ 46,464.00	\$ 2,000.00	5 40,09300	\$ 933.92	\$ 12,678.40	\$ \$25.00	S 16,50010	\$ 1,450,00	\$ 33,000,00
17	12" x 12" Tee, Restrained Joint Installation	ž	EA	51,750,00	\$ 2,750,00	\$ 1,345,00	S 1,585,00	2 1'11'0)	\$ 1,831.90	\$ \$21.93	\$ 827.93	\$ 660,00	\$ 65910	\$ 1,335.00	S F,335,00
18	16" x 12" Tee, Restrained Joint	- 1	EA	52,160,00	S 2,160,00	\$ 2,004,00	\$ 2,004.00	\$ 1,744,00	\$ 1,744,00	\$ 8,315.65	5 1,315,65	\$ 1,100.00	\$ 1,199,00	S 6,855.00	\$ 1,555,00
19	Installation 16" x 16" Tee, Restrained Joins	-	EA	\$2,500,00	\$ 10,000.00	\$ 2,231.00	\$ \$,924.00	\$ 2,086,00	\$ 8,344,00	\$ 1,579.84	3 6,319.52	\$ 1,436,00	\$ 5,720,00	\$ 3,255,03	\$ 13,020,00
	Installation	1	EA		\$ 500.00	\$ 800,60	s konda	\$ 904.00	5 908,00	\$ 672%	\$ 672.96	\$ 603.00	\$ 605.00	\$ 1,209,00	
20	16° x 6° Reducer		 									l			
21	16° x 12° Reducer		EA	\$200.00	\$ 3,400.00	\$ 1,024 (0		\$ 927.00	\$ 1,854,00	1 683.43	\$ 1,366,26	\$ 605.00	\$ 1,210,00	\$ 1,295,00	\$ 2,590,00
	16° DR 11 HDPE, HDD festallation, All Depths	562	LFT	5110.00	\$ 61,920.00	\$ 186.50	\$ 104,213.00	\$ 152.00	5 85,424.00	5 199.52	\$ 182,130.24	\$ 190.36	\$ 156,941,60	5 260,00	\$ 146,120.00
2,3	Water Main Connection, All Sizes (Connect to Existing Valve to Water	2	EA	23,000,00	\$ 6,600.00	\$ 5,616,60	S 31,232,00	\$ 3,100,00	\$ 6,200,00	\$ 9,931,72	\$ 19,863.44	\$ 7,150.00	\$ 14,300.00	\$ 6,750,00	\$ 13,700.00
	Main "Cut in Fittings")		l												\$ 7,910,00
-	3/4" Test Riser (Temporary)	8	EA	\$764100	\$ 5,600,00	\$ 439,00	\$ 3,512.00	F 563,00	\$ 4,564.00	\$ 651.01	\$ 3,708.64	\$ 550,00	\$ 4,400,00	\$ 995,00	- 1,11111
	Fire Hydrant Assembly, Type III (Restrained Joint Tee)	13	EA	FE500.00	\$ 67,500,66	\$ 4,719.09	\$ 70,785.60	\$ 7,000.00	2 (03,003,00	5 4,895,68	\$ 73,435,29	\$ 5,000,00	\$ 75,960,00	\$ 5,206,00	\$ 73,000.00
26	Fire Hydrent Assembly, Type V (Restrained Joint Tee)	1	EA	\$4,500.60	\$ 4,560,66	\$ 3,823.00	\$ 3,823,60	\$ 6,828,00	S 6,331(0)	\$ 3,653,90	\$ 3,533,90	\$ 5,000,00	\$ 5,064.00	\$ 5,075,00	5 5,075,00
27	12" RSW Gote Valve and Box	ı	EA	\$5,000.00	\$ 5,000,00	\$ 3,300.00	5 3,300,00	\$ 2,839.00	\$ 2,239,00	\$ 3,055,64	\$ 3,053,64	\$ 2,200,00	\$ 2,300.00	\$ 3,100.00	\$ 3,100.60
23	16" RSW Gate Valve and Box	20	EA	\$7,500,00	\$ 150,000,00	\$ 7,250,60	1 145,000.09	\$ 1,000.00	\$ (60,000,00)	\$ 7,899.56	S 157,991,20	\$ 6,600,00	\$ 132,000,00	\$ \$,350.00	\$ 167,000.00
29	Pressure Control Station	-	EA	\$128,577,60	\$ 127,577.00	\$ 128,577.00	\$ 128,577,00	\$ \$24,577.00	S 128,577.00	S 11£577.00	5 128,577,00	\$ 123,577,00	\$ \$28,577,00	S 124,577.∞	\$ 128,577,00
30	Pressure Control Station, Civil, Piping.	1	EA	 	5 (0,900.00	\$ 9,500,00		\$ 3,500,00	\$ 3,500.00		\$ 33,373,14	\$ 38,250,00	5 30,250.00	\$ 35,000,00	\$ 35,000.00
\vdash	and Electrical Installation														
31	Telemetry provided by ACK	l Research	EA	\$9,801,69		\$ 9,891,09	\$ 9,801.07	\$ 9,801.09	\$ 9,501.69	\$ 9,801.09	2 9,801,69	\$ 9,808,09	2 9,101,00	5 9,801.09	\$ 9,891.09
	TOTAL AUD BASE BIT			\$2,690,0	000.00		\$ 1,578,255,29		\$ 1.777,267.09		\$ 1,747,436,58		\$ 1,439,969.69		\$ 2,114,563,00
4698	BIÐ SCHEDU	LE - ALTERNAT	FE BID	CASSASSAS	NSNAWAY		, \ #4/1/.	·	-						
Itens	Destron	Quarter	Uhin	Ur's Price	Prieskon	Unit Price	Entereion	Unit Price	Estrusion	Unit Price	Estasion	Unit Price	Esterolog	Unit Price	Extension
L. I	Mulched Seeding (Welland Mix)	450	SYD	\$3.50	52.275.00	\$5.00	\$3,250,00	\$5,00	\$3.250.00	\$3.%6	\$2,574,00	\$3.30	\$2,145,00	\$20.00	\$13,993.60
[,	16° DR. 18, PVC Pressure Udity Piping. AD Depths	695	1FT	\$95,00	566,025,00	\$53.34	\$37,090,19	544,60	\$36,580,00	\$101.16	\$70,306.30	\$69.30	£48.163.50	\$67,00	\$16,365.00
	16" Ductile Iron Fittings Restrained Joint	4	EA	52,000 (4											1
	Installation 16° DR 11 HDPE, HOD Installation, AR	143	LFT	9),0112	\$9,010.00	\$1,323.20	\$9.292.80	\$1,238,00	\$4,952.00	\$995.55	\$3,912.20	f823,00	\$3,500,00	\$1,650,00	signo
4	Depths				\$15,730,00	\$185.50	\$36,536,58	\$14450	\$2 4 ,592,00	\$226,85	532,439.55	\$190.30	527,212.90	\$250.00	\$37,180,00
	16" RSW Gree Vehie and Box	1	EA	\$7,509,60	\$7.500.00	\$7,250,00	\$7,250,00	\$8,356,00	\$8,756,00	57,199,56	57,529.56	\$6,500.00	\$6,600,00	\$8,350,03	\$335060
┡╇┩	Compacted Clay Fill for Temperary Dyke	516	CY	\$33.00	\$17,650,00	\$\$1,69	526,010,60	\$73,50	\$37,230,60	\$40.15	\$20,833,50	\$33,60	\$36,830,00	\$45.00	\$22,940.00
,	DEOTEXTILE, MERAFI RP 570	1590	SYD	\$13.00	\$19,100,80	SS Op	\$12,000,00	\$4.50	\$6,750,00	\$4.13	\$7,063,00	\$4,40	\$5,600,00	\$14.00	\$21,000.00
L. T	DEOTEXTILE Base (\$3/73 stone)	2669	CY	524,00	\$48,000,00	\$11.50	\$57,000,00	\$53,60	\$306,000,00	\$32,36	\$78,720.00	\$33.00	366,000.00	527,00	\$51,660,00
	TOTAL ALTERVATE DI	o .		\$134,8			\$172,428,80		\$21R,110,A3		\$223.830.012		5176,451.40		\$209,645,00
- month		- consentation (CI sill)					31747440		A+375,1 10-447						
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Vesder Dire	hitra Statement Form						x		×		x		X		X
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CITY OF FORT WAYNE, INDIANA

Romenburgy Co Inc (Vendor Name)

VENDOR DISCLOSURE STATEMENT RELATING TO:

- FINANCIAL INTERESTS;
- 2. POTENTIAL CONFLICTS OF INTEREST;
- 3. CURRENT AND PENDING CONTRACTS OR PROCUREMENTS

Vendors desiring to enter into certain contracts with the City of Fort Wayne, Indiana (the "City") shall disclose their financial interests, potential conflicts of interest and current and pending contract or procurement information as set forth below.

The following disclosures by Vendors are required for all contracts with annual payments by the City in the amount of \$50,000 or more. Vendors shall disclose their financial interests, potential conflicts of interest and other contract and procurement information identified in Sections 1, 2 and 3 below as a prerequisite for consideration for a contract awarded by the City. This Disclosure Statement must be completed and submitted together with the Vendor's contract, bid, proposal or offer.

A publicly traded entity may submit its current 10K disclosure filing in satisfaction of the disclosure requirements set forth in Sections 1 and 2 below.

a. If any individuals have either of the following financial interests in Vendor (or its parent), please check all that

Section 1: Disclosure of Financial Interest in Vendor

	apply and provide their names and addresses (attach add	itional pages as necessary):
	(i) Equity ownership exceeding 5%	()
	(ii) Distributable income share exceeding 5%	
	(iii) Not Applicable (If N/A, go to Section 2)	A T
	Name:	Name:
b.	Address:For each individual listed in Section 1a. show his/her type of	Address: of equity ownership:
	sole proprietorship () stock () partnership interest () units (LLC) () other (explain)	·
C,	For each individual listed in Section 1a. show the percenta ownership interest:	ge of ownership interest in Vendor (or its parent)
	Name:	%
	Name:	%

Section 2: Disclosure of Potential Conflicts of Interest (not applicable for vendors who file a 10K)

For each individual listed in Section 1a. check "Yes" or "No" to indicate which, if any, of the following potential conflict of interest relationships apply. If "Yes", please describe using space under applicable subsection (attach additional pages as necessary):

	nent, currently or in	the previous 3 years, i	ncluding contractu	al employment for	services:
sibling) Includ	nent of "Member of l ling contractual emples	mmediate Family" ployment for services i No		ein as: spouse, p ears:	parent, child or
c. Relationship years:		ediate Family holding		ce currently or in	the previous 3
years:	o Member of Imme	diate Family holding <u>a</u>	appointive City off	ice currently or in	the previous 3
		HER CONTRACT AN			
If "Yes", ident	fy each current co	acts (including leases) ntract with descriptive nd City contact below	information inclu	iding purchase or	
# 661	17. Packag	ge#3 Rield, Holli	+ 660 S + 75 # 75	235- Wateri 771-Taylor 917-Mapli	uanPK5Hz HALE,
				89-ROYAL	

b. rel	Does Vendor have <u>pending</u> contracts (including leases), bids, proposals, or other pending procurement ationship with the City? Yes No
	If "Yes", identify each pending matter with descriptive information including bid or project number, contract date and City contact using space below (attach additional pages as necessary).
c.	Does vendor have any existing employees that are also employed by the City of Fort Wayne?
	Yes No
	If "Yes", provide the employee's name, current position held at vendor, and employment payment terms (hourly, salaried, commissioned, etc.).
	Name / Position / Payment Terms:
	Name / Position / Payment Terms:
	Name / Position / Payment Terms:
d.	Does vendor's representative, agent, broker, dealer or distributor (if applicable) have any existing employees that are also employed by the City of Fort Wayne? For each instance, please provide the name of the representative, agent, broker, dealer or distributor; the name of the City employee, and the payment terms (hourly, salaried, commissioned, etc.).
	Company / Name / Payment Terms:
_	

Section 4: CERTIFICATION OF DISCLOSURES

In connection with the disclosures contained in Sections 1, 2 and 3 Vendor hereby certifies that, except as described in attached Schedule A:

- Vendor (or its parent) has not, within the five (5) year period preceding the date of this
 Disclosure Statement, been debarred, suspended, proposed for debarment declared
 ineligible or voluntarily excluded from any transactions by any federal, state or local unit of
 government;
- No officer or director of Vendor (or its parent) or individual listed in Section 1a. is presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any offense;
- c. Vendor (or its parent) has not, within the five (5) year period preceding the date of this Disclosure Statement, had one or more public transactions (federal, state or local) terminated for cause or default;
- d. No officer or director of Vendor (or its parent) or individual listed in Section 1a. has, within the five (5) year period preceding the date of this Disclosure Statement, been convicted, adjudged guilty, or found liable in any criminal or civil action instituted by the City, the federal or state government or any other unit of local government; and
- e. Neither Vendor, nor its parent, nor any affiliated entity of Vendor, or any of their respective

officers, directors, or individuals listed in Section 1a. is barred from contracting with any unit of any federal, state or local government as a result of engaging in or being convicted of: (i) bidrigging; (ii) bid-rotating; or (iii) any similar federal or state offense that contains the same elements as the offense of bid-rigging or bid-rotating

f. Pursuant to IC 5-22-16.5, Vendor hereby certifies they do NOT provide \$20 million dollars or more in goods or services to the energy sector of Iran. Vendor also certifies it is not a financial institution that extends \$20 million dollars or more in credit that will provide goods or services to the energy sector of Iran or extends \$20 million dollars or more in credit to a person identified on the list as a person engaging in investment activities in Iran.

The disclosures contained Sections 1, 2 and 3 and the fore	
Kotnenburger Co Dre	POBOX 457, Concord M'49237
(Name of Vendor)	Address 524-8944
	Telephone (othenberger CO.COM.
	E-Mail Address

The individual authorized to sign on behalf of Vendor represents that he/she: (a) is fully informed regarding the matters pertaining to Vendor and its business; (b) has adequate knowledge to make the above representations and disclosures concerning Vendor; and (c) certifies that the foregoing representations and disclosures are true and accurate to the best of his/her knowledge and belief.

Name (Printed) Dames Rothnewberger Title V.P.

Signature Date 11 | 8 | 4.

NOTE: FAILURE TO COMPLETE AND RETURN THIS FORM WITH YOUR DOCUMENTATION MAY RESULT IN YOUR CONTRACT, OFFER, BID OR PROPOSAL BEING DISQUALIFIED FROM CONSIDERATION.

AGREEMENT BETWEEN OWNER AND CONTRACTOR ON THE BASIS OF A STIPULATED PRICE

Resolution 66256 Work Order 66256

THIS AGREEMENT is by and between the <u>Board of Public Works of the City of Fort Wayne</u>, <u>Indiana</u> (hereinafter called Owner) and <u>Rothenberger Company</u> (hereinafter called Contractor).

Owner and Contractor, in consideration of the mutual covenants hereinafter set forth, agree as follows:

ARTICLE 1 -- WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:

The installation of approximately 13,330 feet of 16" water main by open cut, 562 feet of 16" by horizontally directional drill, 505 feet of 16" by jack and bore, 40 feet of 12" by open cut, with 16 fire hydrants, and a pressure control station.

ARTICLE 2 - THE PROJECT

2.01 The Project for which the Work under the Contract Documents may be the whole or only a part is generally described as follows:

Branstrator Ellison Road WME

ARTICLE 3 – ENGINEER

3.01 The Project has been designed by City of Fort Wayne, which is to act as Owner's representative, assume all duties and responsibilities, and have the rights and authority assigned to Engineer in the Contract Documents in connection with the completion of the Work in accordance with the Contract Documents. Owner will confirm to Contractor prior to issuance of Notice to Proceed as to who will serve as Engineer.

ARTICLE 4 – CONTRACT TIMES

- 4.01 Time of the Essence
 - A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.

- 4.02 Days to Achieve Substantial Completion and Final Payment
 - A. The Work will be substantially completed by April 30, 2015 as provided in Paragraph 2.03 of the General Conditions, and completed and ready for final payment in accordance with Paragraph 14.07 of the General Conditions by May 30, 2015.
 - B. Milestone 1 The jack and bore shall be completed under the Railroad and McCulloch Legal Drain at station 114+00 to 116+00 before the jack and bore is started under I-69 and Branstrator Rd. at station 79+50 to 82+50.
 - C. Definitions of Substantial Completion for this Work shall consist of satisfactory completion of water main, hydrants, backfilling and compaction, successful pressure testing and disenfection of pipe, and all other work except for concrete, asphalt, and restoration.

4.03 Liquidated Damages

A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial loss if the Work is not completed within the times specified in Paragraph 4.02 above, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. The parties also recognize the delays, expense, and difficulties involved in proving in a legal or arbitration proceeding the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty), Contractor shall pay Owner \$1,000.00 for each day that expires after the time specified in Paragraph 4.02 above for Substantial Completion until the Work is substantially complete. After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Time or any proper extension thereof granted by Owner, Contractor shall pay Owner \$500.00 for each day that expires after the time specified in Paragraph 4.02 above for completion and readiness for final payment until the Work is completed and ready for final payment.

ARTICLE 5 - CONTRACT PRICE

- 5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents an amount in current funds equal to the sum of the amounts determined pursuant to Paragraphs 5.01.A, 5.01.B, and 5.01.C below:
 - A. Not Used
 - B. For all Unit Price Work, an amount equal to the sum of the established unit price for each separately identified item of Unit Price Work times the actual quantity of that item:

See Article 5 (itemized Bid Schedule) of the Bid Form 00 41 00-3 to 00 41 00-4

One Million, Five Hundred Seventy-Eight Thousand, Two Hundred Fifty-Five Dollars and Twenty-Nine Cents.

(\$1,578,255.29)

The Bid prices for Unit Price Work set forth as of the Effective Date of the Agreement are based on estimated quantities. As provided in Paragraph 11.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer as provided in Paragraph 9.07 of the General Conditions.

C. Not Used.

ARTICLE 6 – PAYMENT PROCEDURES

- 6.01 Submittal and Processing of Payments
 - A. Contractor shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.
- 6.02 Progress Payments; Retainage
 - A. Owner shall make progress payments on account of the Contract Price on the basis of Contractor's Applications for Payment within 30 days of receipt during performance of the Work as provided in Paragraph 6.02.A.1 below. All such payments will be measured by the schedule of values established as provided in Paragraph 2.07.A of the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no schedule of values, as provided in the General Requirements.
 - Prior to Substantial Completion, progress payments will be made in an amount equal to the
 percentage indicated below but, in each case, less the aggregate of payments previously
 made and less such amounts as Engineer may determine or Owner may withhold, including
 but not limited to liquidated damages, in accordance with Paragraph 14.02 of the General
 Conditions.
 - a. 95% of Work completed (with the balance being retainage), and
 - b. <u>95%</u> of cost of materials and equipment not incorporated in the Work (with the balance being retainage).
 - B. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to <u>100</u> % of the Work completed, less such amounts as Engineer shall determine in accordance with Paragraph 14.02.B.5 of the General Conditions and less <u>200</u>% of Engineer's estimate of the value of Work to be completed or corrected as shown on the tentative list of items to be completed or corrected attached to the certificate of Substantial Completion.
 - C. If the contract is in excess of \$200,000, the contract will be subject to the standard Board of Public Works escrow agreement unless written notice is received from Contractor prior to execution of the Agreement stating that an Escrow Account is not desired. If an Escrow

Account is not desired, then the Board of Public Works, in accordance with IC 36-1-12-14, shall hold the retainage and will <u>not</u> pay interest on the amounts of retainage that it holds

6.03 Final Payment

A. Upon final completion and acceptance of the Work in accordance with Paragraph 14.07 of the General Conditions, Owner shall pay the remainder of the Contract Price as recommended by Engineer as provided in said Paragraph 14.07.

ARTICLE 7 - INTEREST

7.01 All moneys not paid when due as provided in Article 14 of the General Conditions shall bear interest at the maximum rate allowed by law at the place of the Project.

ARTICLE 8 – CONTRACTOR'S REPRESENTATIONS

- 8.01 In order to induce Owner to enter into this Agreement, Contractor makes the following representations:
 - A. Contractor has examined and carefully studied the Contract Documents and the other related data identified in the Bidding Documents.
 - B. Contractor has visited the Site and become familiar with and is satisfied as to the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
 - C. Contractor is familiar with and is satisfied as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the Work.
 - D. Contractor has carefully studied all: (1) reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions relating to existing surface or subsurface structures at the Site (except Underground Facilities), if any, that have been identified in Paragraph SC-4.02 of the Supplementary Conditions as containing reliable "technical data," and (2) reports and drawings of Hazardous Environmental Conditions, if any, at the Site that have been identified in Paragraph SC-4.06 of the Supplementary Conditions as containing reliable "technical data,"
 - E. Contractor has considered the information known to Contractor; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Site-related reports and drawings identified in the Contract Documents, with respect to the effect of such information, observations, and documents on (1) the cost, progress, and performance of the Work; (2) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, including any specific means, methods, techniques, sequences, and procedures of construction expressly required by the Contract Documents; and (3) Contractor's safety precautions and programs.

- F. Based on the information and observations referred to in Paragraph 8.01.E above, Contractor does not consider that further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract Documents.
- G. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- H. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- I. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- J. In connection with the performance of Work under this Contract, Contractor agrees to comply with Anti-Discrimination Under Indiana Code Chapter 5-16-6-1 and be bound by Chapter 93.036, of the Code of the City of Fort Wayne, Indiana of 1974, passed by the Common Council of the City of Fort Wayne, Indiana as General Ordinance No. G-34-78 (as amended) on December 12th, 1978. Contractor shall file a Manpower Utilization Report for this project with the Compliance Office within ten (10) days after completion of the construction or upon request of the Office of Compliance.

ARTICLE 9 - CONTRACT DOCUMENTS

9.01 Contents

- A. The Contract Documents consist of the following:
 - 1. This Agreement (pages 00 52 00-1 to 00 52 00-11, inclusive);
 - 2. Escrow Account Agreement (pages 00 54 43-1 to 00 54 43-3, inclusive);
 - 3. E-Verify Affidavit (page 00 54 53-1, inclusive);
 - 4. Performance Bond Form (pages 00 61 13.13-1 to 00 61 13.13-2, inclusive);
 - 5. Payment Bond Form(pages 00 61 13.16-1 to 00 61 13.16-2, inclusive);
 - 6. Guaranty Bond Form(pages 00 61 31-1 to 00 61 31-2, inclusive);
 - 7. General Conditions (pages 00 72 00-1 to 00 72 00-41, inclusive);
 - 8. Supplementary Conditions (pages 00 73 00-1 to 00 73 00-10, inclusive);
 - 9. Specifications as listed in the Table of Contents of the Project Manual

- 10. Drawings consisting of Drawing Y-20596, pages 1 through 33, inclusive, with each sheet bearing the following general title: Branstrator Ellison Road WME;11. Addenda (numbers 1 to 2, inclusive);
- 12. Attachments to this Agreement (enumerated as follows);
 - a. Contractor's Bid Schedule (page 00 41 00-3 to 0041 00-4);
 - b. Documentation submitted by Contractor prior to Notice of Award (pages ___ to ___, inclusive);
- 13. The following which may be delivered or issued on or after the Effective Date of the Agreement and are not attached hereto:
 - a. Notice to Proceed (pages _____ to ____, inclusive).
 - b. Work Change Directives.
 - c. Change Orders.
- B. The documents listed in Paragraph 9.01.A are attached to this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 9.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in Paragraph 3.04 of the General Conditions.

ARTICLE 10 - MISCELLANEOUS

10.01 Terms

A. Terms used in this Agreement will have the meanings stated in the General Conditions and the Supplementary Conditions.

10.02 Assignment of Contract

A. No assignment by a party hereto of any rights under or interests in the Contract will be binding on another party hereto without the written consent of the party sought to be bound; and, specifically but without limitation, moneys that may become due and moneys that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

10.03 Successors and Assigns

A. Owner and Contractor each binds itself, its partners, successors, assigns, and legal representatives to the other party hereto, its partners, successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

10.04 Severability

A. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

10.05 Contractor's Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 10.05:
 - 1. "corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the bidding process or in the Contract execution;
 - 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
 - 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
 - 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

10.06 Emerging Business Enterprise(EBE) Participation

EBE Retainage Requirements — If the Contractor is in compliance with the provisions of the construction Contract the Owner will make payments for such work performed and completed. Pursuant to Executive Order 90-01 (amended 5-8-06); the Owner will retain five percent (5%) of the Contract Price to ensure compliance with the EBE participation requirements. Upon final inspection and acceptance of the Work, and determination by the Fort Wayne Board of Public Works that the Contractor has made a good faith effort to subcontract ten percent (10%) of the Contract Price to emerging business enterprises, the Contractor will be paid in full.

In the event there is a determination that good faith compliance with these EBE participation requirements has not occurred, appropriate reduction in the final payment pursuant to paragraph 10.06.E will be made.

If the Contract Price is in excess of \$200,000, the contract will be subject to the standard Board of Public Works escrow agreement. However, payments to the Contractor are not to exceed 95% of the total Contract Price until the Owner has verified that the Contractor has made good faith efforts to attain the 10% EBE goal stipulated in here within. Payment of the final 5% of the total Contract Price will be dependent upon acceptance of the Work, in accordance with Paragraph 14.07 of the General Conditions, and good faith efforts to comply with these EBE participation requirements; subject to reduction in the event of non-compliance as provided in paragraph 10.06.E.

- A. Request for Waiver If, at the time final payment application is made, Contractor has not attained the ten percent (10%) EBE goal, Contractor shall file with the final payment application a "Request for Waiver." Said Request for Waiver shall contain a written description of the efforts taken by Contractor to attain the ten percent (10%) EBE goal.
- B. <u>Determination of Waiver Requests</u> The Contract Compliance Department of the City of Fort Wayne shall examine all Requests for Waiver to determine if Contractor's efforts constitute good faith efforts to attain such goal and shall submit recommendations concerning said requests for Waiver for the final determination of the Board of Public Works of the City of Fort Wayne.
- C. <u>Good Faith Efforts</u>. In determining whether or not the Contractor used "good faith" efforts, the following shall be considered:
 - 1. Whether the contract can be subdivided as determined by the Engineer and Administrator of Contract Compliance;
 - 2. Availability of certified EBE businesses to participate as subcontractors;
 - 3. Non-competitive price quotes received from EBE firms. The Board of Public Works' determination for granting a reduction or waiver of the goal because of higher quotes from EBE firms will be based on factors that include, but are not limited to the following:
 - a. The Engineer's estimate for the work under a specific contract;
 - b. The Contractor's own estimate for the work under the subcontract;
 - c. An average of the valid prices quoted for the subcontract;
 - d. Demonstrated increase in other contract costs as a result of sub-contracting to EBE firm(s).
 - 4. Documented measures taken by the Contractor to comply with the EBE participation goal;

- 5. EBE subcontractor failed to fulfill their obligation in regard to the time delivery of goods and/or services. Also in regards to the quality of the goods and/or services set forth in the bid specifications.
- 6. Such other matters as the Board of Public Works deems relevant.
- D. <u>Consequences of Noncompliance</u> In the event the Board of Public Works approves a recommendation that Contractor failed to make good faith efforts at compliance, the Contract Price shall be reduced by the amount calculated as the difference between the EBE participation goal of 10% and the actual participation level met by the Contractor, but in no case shall it be reduced by more than 5%. Said amount shall be added to the City of Fort Wayne EBE Bond Guarantee Fund and Contractor agrees to accept the reduced amount as full payment under the terms of his/her Contract.
- E. <u>Waiver Approved</u> In the event the Board of Public Works determines that a good faith effort to comply with these EBE participation requirements has been made, the Contract Price shall not be reduced, and the balance owing to the Contractor shall be paid in full.

been signed or have been identified by Owner and Contractor or on their behalf. This Agreement will be effective on _______, _____ (which is the Effective Date of the Agreement). CONTRACTOR: OWNER: ROTHENBERGER COMPANY CITY OF FORT WAYNE THOMAS C. HENRY, MAYOR (Name) TITLE: DATE: (Date signed by Contractor) Address for giving notices: **BOARD OF PUBLIC WORKS** ROBERT P. KENNEDY, CHAIR MIKE AVILA, MEMBER BY:_ KUMAR MENON, MEMBER LYNDSEY RICHARDS, CLERK (Date signed by Board)

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement (Contract/Resolution Number 66256). One counterpart has been delivered to Owner, and one counterpart delivered to Contractor. All portions of the Contract Documents have

ACKNOWLEDGMENT (CONTRACTOR)

STATE OF INDIANA) SS:)	
COUNTY OF ALLEN)	
BEFORE ME, a Notary Public, in and for sai	d County and State, thisday of,, who under penalty of perjury says that he is and as such duly authorized to execute the foregoing luntary act and deed of for the uses
personally appeared the within named	who under penalty of perjury says that he is
instrument and acknowledged the same as the vo	and as such dury authorized to execute the foregoing luntary act and deed of for the uses
and purposes therein set forth.	
IN WITNESS WHEREOF, hereunto subscribed	my name, affixed my official seal.
	Notary Public
	Printed Name of Notary
My Commission Expires:	·
Resident of	County
<u>ACKNOV</u>	<u>VLEDGMENT (OWNER)</u>
	<u>VLEDGMENT (OWNER)</u>
STATE OF INDIANA) SS:)	<u>VLEDGMENT (OWNER)</u>
STATE OF INDIANA) SS:)	<u>VLEDGMENT (OWNER)</u>
STATE OF INDIANA) SS:) COUNTY OF ALLEN) BEFORE ME, a Notary Public, in and for said Cappeared the within named Thomas C. Henry, Roby me personally known, who being by me duly wayne, and Chairman, Members, and Clerk of the that they signed said instrument on behalf of the	County and State, this day of,, personally obert Kennedy, Mike Avila, Kumar Menon and Lyndsey Richards,
STATE OF INDIANA) SS:) COUNTY OF ALLEN) BEFORE ME, a Notary Public, in and for said C appeared the within named Thomas C. Henry, Re by me personally known, who being by me duly s Wayne, and Chairman, Members, and Clerk of that they signed said instrument on behalf of thacknowledge said instrument to be in the volunta forth.	County and State, this day of,, personally obert Kennedy, Mike Avila, Kumar Menon and Lyndsey Richards, sworn said that they are respectively the Mayor of the City of Fort be Board of Public Works of the City of Fort Wayne, Indiana, and the City of Fort Wayne, Indiana, with full authority so to do and any act and deed of said City for the uses and purposes therein set
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STATE OF INDIANA) SS:) COUNTY OF ALLEN) BEFORE ME, a Notary Public, in and for said Cappeared the within named Thomas C. Henry, Reby me personally known, who being by me duly Wayne, and Chairman, Members, and Clerk of that they signed said instrument on behalf of thacknowledge said instrument to be in the voluntation.	County and State, this day of,, personally obert Kennedy, Mike Avila, Kumar Menon and Lyndsey Richards, sworn said that they are respectively the Mayor of the City of Fort he Board of Public Works of the City of Fort Wayne, Indiana, and he City of Fort Wayne, Indiana, with full authority so to do and any act and deed of said City for the uses and purposes therein set my name, affixed my official seal. Notary Public

by CUE (Mar. 2012) - (For Use on Non-SRF Funded Projects)

00 52 00-11



Interoffice Memo

Date:

12/8/2014

To:

Common Council Members

From:

July 18 18 18 Michael Kiester, Manager, City Utilities Engineering / ESS

RE:

Branstrator Ellison Road Water Main Extension

Res. #66256, W.O. #66256

Council District #SW

The contractor shall furnish all labor, insurance, equipment, materials and power for the completion of the project: "Branstrator Ellison Road Water Main Extension" as follows: The installation of approximately 13,330 feet of 16" water main by open cut, 562 feet of 16" by horizontally directional drill, 505 feet of 16" by jack and bore, 40 feet of 12" by open cut, with 16 fire hydrants, and a pressure control station.

Implications of not being approved: (Briefly state current situation and what "non-improved" items will continue to occur if not completed; any additional expenses that will occur if not completed)

If Prior Approval is being Requested, Justify: N/A

This project was advertised to contractors on October 31, 2014, November 7, 2014 in the Journal Gazette and the News Sentinel and November 5, 2014 in Frost Illustrated, Inc.

The contract for Resolution # 66256 awarded to Rothenberger Company for \$1,578,255.29 was the lowest most responsive bidder of 5 bidders and 28% below the Engineer's estimate of \$2,190,000.00. The second lowest bidder was \$199,011.80 above Rothenberger's bid.

The cost of said project funded by Water Utility.

Council Introduction Date: 12/9/2014

CC:

BOW

Matthew Wirtz Diane Brown Construction Manager

Chrono File