

BILL NO. S-20-10-39

SPECIAL ORDINANCE NO. S-_____

AN ORDINANCE approving DIANE DRIVE DRAINAGE IMPROVEMENTS AND WATER MAIN REPLACEMENT - RESOLUTION #83727 - \$379,623.00 between UNDERGROUND CONTRACTOR'S INC. and the City of Fort Wayne, Indiana, in connection with the Board of Public Works.

NOW, THEREFORE, BE IT ORDAINED BY THE COMMON COUNCIL OF THE CITY OF FORT WAYNE, INDIANA:

SECTION 1. That the DIANE DRIVE DRAINAGE IMPROVEMENTS AND WATER MAIN REPLACEMENT - RESOLUTION #83727 - \$379,623.00 by and between UNDERGROUND CONTRACTOR'S INC. and the City of Fort Wayne, Indiana, in connection with the Board of Public Works, is hereby ratified, and affirmed and approved in all respects, respectfully for:

All labor, insurance, material, equipment, tools, power, transportation, miscellaneous equipment, etc., necessary for The project is to install approximately 2,250 LF of storm sewer, 30 drainage structures, and approximately 2,000 LF of roadside swales. The project includes the replacing approximately 1,300 LF of water main appurtenances along Diane Drive;

involving a total cost of THREE HUNDRED SEVENTY-NINE THOUSAND SIX HUNDRED TWENTY-THREE AND 00/100 DOLLARS - (\$379,623.00). A copy of said Contract is on file with the Office of the City Clerk and made available for public inspection, according to law.

SECTION 2. That this Ordinance shall be in full force and effect from and after its passage and any and all necessary approval by the Mayor.

Council Member

APPROVED AS TO FORM AND LEGALITY

Carol Helton, City Attorney

PROJECT: Diane Drive Drainage & Water Main Replacement
 Resolution#: 83727
 Work Order#: 83727
 Project Designer: Charlie Cochran
 Construction Manager: Zack Schaefer
 Manager: Mike Kistler
 Bid Date: (Quote Date) October 15, 2020
 Funding: Water Revenue, and Stormwater Revenue

BID				Engineer's Estimate		Underground Contractors Inc		API Construction		VTF Excavation, LLC		Crosby Excavating		Buna, Inc		Fox Contractors		
Item#	Description	Quantity	Unit	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	
1	Mobilization and Demobilization	1 LG		\$22,975.00	\$	22,975.00		\$12,500.00	\$	12,500.00		\$24,847.14	\$	24,847.14		\$24,000.00	\$	24,000.00
3	Manhole Assembly Removal and Reset	2 EA		\$150.00	\$	300.00		\$300.00	\$	600.00		\$340.50	\$	681.00		\$490.00	\$	980.00
3	Maintenance and Protection of Traffic	1 LG		\$1,000.00	\$	1,000.00		\$7,000.00	\$	7,000.00		\$11,375.00	\$	11,375.00		\$12,000.00	\$	12,000.00
4	Temporary Soil Protection	30 EA		\$25.00	\$	750.00		\$750.00	\$	750.00		\$13.75	\$	3,645.00		\$390.00	\$	10,230.00
5	Erosion and Sediment Control	1 LG		\$1,500.00	\$	1,500.00		\$7,500.00	\$	7,500.00		\$7,150.00	\$	7,150.00		\$2,455.00	\$	12,150.00
6	Video Document of Conditions	1 LG		\$500.00	\$	500.00		\$1,000.00	\$	1,000.00		\$650.00	\$	650.00		\$1,200.00	\$	1,200.00
7	Permit Fee	2 EA		\$1,500.00	\$	3,000.00		\$1,875.00	\$	3,750.00		\$1,300.00	\$	2,600.00		\$2,000.00	\$	4,000.00
8	HVA Patching Residential Street	14 CY		\$70.00	\$	9,800.00		\$50.00	\$	7,000.00		\$50.00	\$	7,000.00		\$50.00	\$	7,000.00
9	Temporary Pavement Repair 3 Inches Underside	1 LG		\$70.00	\$	70.00		\$50.00	\$	50.00		\$73.55	\$	73.55		\$54.00	\$	54.00
10	Concrete Driveway Residential	60 CY		\$30.00	\$	1,800.00		\$30.00	\$	1,800.00		\$158.15	\$	9,489.00		\$143.00	\$	8,580.00
11	Asphalt Driveway Residential	60 CY		\$50.00	\$	3,000.00		\$50.00	\$	3,000.00		\$151.50	\$	9,090.00		\$177.00	\$	10,620.00
12	Grading	4000 CY		\$2.50	\$	10,000.00		\$2.50	\$	10,000.00		\$12.00	\$	48,000.00		\$3.75	\$	15,000.00
13	Leaving and Grading	1 LG		\$10,000.00	\$	10,000.00		\$12,000.00	\$	12,000.00		\$25,415.00	\$	25,415.00		\$7,700.00	\$	7,700.00
14	18-inch Concrete Non-Pressure Utility Piping	25 LF		\$50.00	\$	1,250.00		\$50.00	\$	1,250.00		\$51.15	\$	1,278.75		\$27.50	\$	687.50
15	18-inch Concrete Non-Pressure Utility Piping	30 LF		\$70.00	\$	2,100.00		\$60.00	\$	1,800.00		\$51.32	\$	1,539.60		\$37.00	\$	1,110.00
16	12-inch Concrete Non-Pressure Utility Piping	140 LF		\$50.00	\$	7,000.00		\$50.00	\$	7,000.00		\$52.91	\$	7,407.40		\$50.00	\$	7,000.00
17	12-inch HDPE Non-Pressure Utility Piping	410 LF		\$45.00	\$	18,450.00		\$45.00	\$	18,450.00		\$42.75	\$	17,535.00		\$39.00	\$	16,020.00
18	18-inch HDPE Pressure Utility Piping-Owner Furnish	1310 LF		\$55.00	\$	72,050.00		\$55.00	\$	72,050.00		\$33.33	\$	43,689.00		\$41.00	\$	53,930.00
19	674.47 Reducer HDPE Fitting	1 EA		\$1,200.00	\$	1,200.00		\$400.00	\$	400.00		\$255.45	\$	255.45		\$1,000.00	\$	1,000.00
20	Water Main Connection Tapping Sleeve and Valve	1 EA		\$5,500.00	\$	5,500.00		\$7,500.00	\$	7,500.00		\$4,300.50	\$	4,300.50		\$10,450.00	\$	10,450.00
21	Test Blank Temporary	2 EA		\$1,000.00	\$	2,000.00		\$500.00	\$	1,000.00		\$1,200.15	\$	2,400.30		\$2,650.00	\$	5,300.00
22	Removal of Manhole	3 EA		\$300.00	\$	900.00		\$300.00	\$	900.00		\$37.40	\$	112.20		\$375.00	\$	1,125.00
23	Removal of Valve Box	8 EA		\$750.00	\$	6,000.00		\$750.00	\$	6,000.00		\$109.68	\$	877.44		\$400.00	\$	3,200.00
24	Temporary Block off	3 EA		\$1,200.00	\$	3,600.00		\$200.00	\$	600.00		\$1,911.53	\$	5,734.59		\$1,350.00	\$	4,050.00
25	Out and Plug Pipe Abandonment	2 EA		\$1,800.00	\$	3,600.00		\$350.00	\$	700.00		\$788.80	\$	1,577.60		\$3,370.00	\$	6,740.00
26	Water Service Replacement-Short Side	13 EA		\$2,100.00	\$	27,300.00		\$1,275.00	\$	16,575.00		\$119.75	\$	1,556.75		\$1,960.00	\$	25,480.00
27	Water Service Replacement-Long Side	13 EA		\$2,400.00	\$	31,200.00		\$1,975.00	\$	25,675.00		\$1,287.24	\$	16,734.12		\$2,445.00	\$	31,785.00
28	Water Service Reconnect	1 EA		\$5,500.00	\$	5,500.00		\$3,700.00	\$	3,700.00		\$111.88	\$	1,118.88		\$2,740.00	\$	2,740.00
29	Fire Hydrant Assembly-All Tools	3 EA		\$8,500.00	\$	25,500.00		\$4,900.00	\$	14,700.00		\$5,700.00	\$	17,100.00		\$7,200.00	\$	21,600.00
30	6-inch Resident Side Gate Valve and Box	2 EA		\$7,800.00	\$	15,600.00		\$3,900.00	\$	7,800.00		\$1,380.50	\$	2,761.00		\$2,415.00	\$	4,830.00
31	12-inch Storm Drainage Manhole	1 EA		\$4,500.00	\$	4,500.00		\$12,000.00	\$	12,000.00		\$5,313.01	\$	5,313.01		\$5,010.00	\$	5,010.00
32	30-inch Storm Round Inlet	13 EA		\$1,700.00	\$	22,100.00		\$1,150.00	\$	14,950.00		\$1,621.41	\$	21,078.33		\$1,920.00	\$	24,960.00
33	30-inch Storm Round Inlet	5 EA		\$1,900.00	\$	9,500.00		\$1,350.00	\$	6,750.00		\$1,878.37	\$	9,391.85		\$1,700.00	\$	8,500.00
34	30-inch Storm Box Inlet	10 EA		\$1,800.00	\$	18,000.00		\$1,350.00	\$	13,500.00		\$2,333.79	\$	23,337.90		\$2,040.00	\$	20,400.00
35	12-inch Metal Box End Treatment	2 EA		\$150.00	\$	300.00		\$75.00	\$	150.00		\$208.88	\$	417.76		\$375.00	\$	750.00
36	Owner's Work Allowance	1 LG		\$15,000.00	\$	15,000.00		\$15,000.00	\$	15,000.00		\$15,000.00	\$	15,000.00		\$15,000.00	\$	15,000.00
TOTAL BASE BID				\$	462,940.00	\$	378,733.00	\$	314,684.25	\$	493,631.71	\$	519,430.70	\$	538,312.00	\$	558,175.00	

Bid Alternate #1				Engineer's Estimate		Underground Contractors Inc		API Construction		VTF Excavation, LLC		Crosby Excavating		Buna, Inc		Fox Contractors	
Item#	Description	Quantity	Unit	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension
37	Owner-Supplied Special Backfill (No. 530)	65	CY	\$40.00	\$ 2,600.00	\$22.00	\$ 1,430.00	\$150.00	\$ 9,750.00	\$18.50	\$ 1,207.50	\$35.00	\$ 1,550.00	\$31.00	\$ 2,015.00	\$45.00	\$ 2,925.00
Total Base Bid plus Alternate #1					\$65,500.00	\$	\$79,612.00	\$	\$16,444.25	\$	\$91,631.71	\$	\$102,249.75	\$	\$28,316.00	\$	\$55,315.00

Bid Alternate #2				Engineer's Estimate		Underground Contractors Inc		API Construction		VTF Excavation, LLC		Crosby Excavating		Buna, Inc		Fox Contractors	
Item#	Description	Quantity	Unit	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension	Unit Price	Extension
31	Contractor Supplied Special Backfill (No. 530)	65	CY	\$40.00	\$ 2,600.00	\$42.00	\$ 2,600.00	\$125.00	\$ 11,275.00	\$57.00	\$ 3,709.50	\$45.00	\$ 2,925.00	\$58.00	\$ 3,610.00	\$59.00	\$ 3,523.00
Total Base Bid plus Alternate #2					\$45,500.00		\$103,320.00		\$385,309.25		\$48,094.50		\$30,234.75		\$79,937.00		\$16,150.00

Basis of Award to Base Bid plus Alternate #1				\$	465,540.00	\$	379,733.00	\$	314,684.25	\$	493,631.71	\$	519,430.70	\$	538,312.00	\$	558,175.00
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Underground's low bid plus API is lowest, API's low bid plus VTF is tied

Addendum No.1																	
Addendum No.2																	
Bidder's Bond																	
Form 96																	
Non-Conflicting Affidavit																	
Get the Lien Financial Statement																	
Employee Drug Testing Program (>\$150K)																	

Revised By: Charles A. Cochran

Charles A. Cochran 10/16/2020

**AGREEMENT BETWEEN OWNER AND CONTRACTOR
FOR CONSTRUCTION CONTRACT (STIPULATED PRICE)**

This Agreement is by and between **Board of Stormwater Management** ("Owner") and Underground Contractor's Inc ("Contractor").

Terms used in this Agreement have the meanings stated in the General Conditions and the Supplementary Conditions.

Owner and Contractor hereby agree as follows:

ARTICLE 1—WORK

- 1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows: **The project is to install approximately 2,250 LF of storm sewer, 30 drainage structures, and approximately 2,000 LF of roadside swales. The project includes the replacing approximately 1,300 LF of water main and appurtenances along Diane Drive.**

ARTICLE 2—THE PROJECT

- 2.01 The Project, of which the Work under the Contract Documents is a part, is generally described as follows: **83727 Diane Drive Drainage Improvements and Water Main Replacement**

ARTICLE 3—ENGINEER

- 3.01 The Owner has retained Anne Marie Smrchek ("Engineer") to act as Owner's representative, assume all duties and responsibilities of Engineer, and have the rights and authority assigned to Engineer in the Contract.
- 3.02 ::NOT USED::

ARTICLE 4—CONTRACT TIMES

- 4.01 *Time is of the Essence*
- A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.
- 4.02 ::NOT USED::
- 4.03 *Contract Times: Days*
- A. The Work will be substantially complete on or before **May 14, 2021**, after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions.
- B. Work shall be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before **June 11, 2021**, after the date when the Contract Times commence to run.
- C. Definition of Substantial Completion for this Work shall consist of **installation of all pipe and appurtenances and rough grading complete.**

4.04 *Milestones*

A. Parts of the Work must be completed on or before the following Milestone(s):

1. :: NOT USED ::

4.05 *Liquidated Damages*

A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the Contract Times, as duly modified. The parties also recognize the delays, expense, and difficulties involved in proving, in a legal or arbitration proceeding, the actual loss suffered by Owner if the Work is not completed on time. Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):

1. *Substantial Completion*: Contractor shall pay Owner \$1,000.00 for each day that expires after the time (as duly adjusted pursuant to the Contract) specified above for Substantial Completion, until the Work is substantially complete.
2. *Completion of Remaining Work*: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner \$1,000.00 for each day that expires after such time until the Work is completed and ready for final payment.

B. If Owner recovers liquidated damages for a delay in completion by Contractor, then such liquidated damages are Owner's sole and exclusive remedy for such delay, and Owner is precluded from recovering any other damages, whether actual, direct, excess, or consequential, for such delay, except for special damages (if any) specified in this Agreement.

C. ::NOT USED::

4.06 ::NOT USED::

ARTICLE 5—CONTRACT PRICE

5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents, the amounts that follow, subject to adjustment under the Contract:

A. :: NOT USED ::

B. :: NOT USED ::

C. :: NOT USED ::

D. For all Work, at the prices stated in Contractor's Bid on the QuestCDN online bid submittal, attached hereto as an exhibit.

TOTAL OF ALL UNIT PRICES Three Hundred Seventy-Nine Thousand,

Six Hundred Twenty-Three Dollars and Zero Cents

\$ 379,623.00

Basis of Award is Base Bid plus alternate #1

ARTICLE 6—PAYMENT PROCEDURES

6.01 *Submittal and Processing of Payments*

- A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.

6.02 *Progress Payments; Retainage*

- A. Owner shall make progress payments during performance of the Work as provided in Paragraph 6.02.A.1 below, provided that such Applications for Payment have been submitted within 45-days of the portions complete, and otherwise meet the requirements of the Contract. All such payments will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.
 - 1. Prior to Final Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Owner may withhold, including but not limited to liquidated damages, in accordance with the Contract.
 - a. 95 percent of the value of the Work completed (with the balance being retainage).
 - b. 95 percent of cost of materials and equipment not incorporated in the Work (with the balance being retainage).
- B. Upon Substantial Completion, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less 200 percent of Engineer's estimate of the value of Work to be completed or corrected as shown on the punch list of items to be completed or corrected prior to final payment.
- C. Escrow Agreement.
 - 1. If the Contract is in excess of \$200,000, the contract will be subject to the standard Board of Stormwater Management escrow agreement; unless written notice is received from Contractor prior to execution of the Agreement stating that an Escrow Account is not desired. If an Escrow Account is not desired, then the Board of Stormwater Management, in accordance with IC 36-1-12-14, shall hold the retainage and will not pay interest on the amounts of retainage that it holds.

6.03 *Final Payment*

- A. Upon final completion and acceptance of the Work, Owner shall pay the remainder of the Contract Price in accordance with Paragraph 15.06 of the General Conditions.

6.04 *Consent of Surety*

- A. Owner will not make final payment or return or release retainage at Substantial Completion or any other time, unless Contractor submits written consent of the surety to such payment, return, or release.

ARTICLE 7—CONTRACT DOCUMENTS

7.01 *Contents*

- A. A. The Contract Documents consist of the following:
 - 1. This Agreement (00 52 00, inclusive);
 - 2. Escrow Account Agreement (00 54 43, inclusive);
 - 3. Drug Policy Acknowledgment Form (00 54 52, inclusive);
 - 4. E-Verify Affidavit (00 54 53, inclusive);
 - 5. Performance Bond Form (00 61 13.13, inclusive);
 - 6. Payment Bond Form (00 61 13.16, inclusive);
 - 7. General Conditions (00 72 00, inclusive);
 - 8. Supplementary Conditions (00 73 00, inclusive);
 - 9. Specifications as listed in the table of contents of the project manual
 - 10. Drawings consisting of Drawing SY-21277, pages 1 through 13, inclusive, with each sheet bearing the following general title: Diane Drive Drainage Improvements and Water Main Replacement;
 - 11. Addenda (numbers 1 to 2, inclusive);
 - 12. Attachments to this Agreement (enumerated as follows);
 - a. Contractor's Bid Worksheet;
 - b. Documentation submitted by Contractor prior to Notice of Award.
 - 13. The following which may be delivered or issued on or after the Effective Date of the Agreement and are not attached hereto:
 - a. Notice to Proceed;
 - b. Written Amendments;
 - c. Work Change Directives;
 - d. Change Orders;
- B. ::NOT USED::
- C. There are no Contract Documents other than those listed above in this Article 7.

- D. The Contract Documents may only be amended, modified, or supplemented as provided in the Contract.

ARTICLE 8—REPRESENTATIONS, CERTIFICATIONS, AND STIPULATIONS

8.01 Contractor's Representations

- A. In order to induce Owner to enter into this Contract, Contractor makes the following representations:

1. Contractor has examined and carefully studied the Contract Documents, including Addenda.
2. Contractor has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
3. Contractor is familiar with all Laws and Regulations that may affect cost, progress, and performance of the Work.
4. Contractor has carefully studied the reports of explorations and tests of subsurface conditions at or adjacent to the Site and the drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, with respect to the Technical Data in such reports and drawings.
5. Contractor has carefully studied the reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, with respect to Technical Data in such reports and drawings.
6. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (c) Contractor's safety precautions and programs.
7. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
8. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
9. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.

10. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
11. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.
12. In connection with the performance of Work under this Contract, Contractor agrees to comply with Anti-Discrimination Under Indiana Code Chapter 5-16-6-1 and be bound by Chapter 93.036, of the Code of the City of Fort Wayne, Indiana of 1974, passed by the Common Council of the City of Fort Wayne, Indiana as General Ordinance No. G-34-78 (as amended) on December 12th, 1978. Contractor shall file a Manpower Utilization Report for this project with the Compliance Office within ten (10) days after completion of the construction or upon request of the Office of Compliance.

8.02 *Contractor's Certifications*

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 8.02:
 1. "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;
 2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;
 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

8.03 *Standard General Conditions*

- A. Owner stipulates that if the General Conditions that are made a part of this Contract are EJCDC® C-700, Standard General Conditions for the Construction Contract (2018), published by the Engineers Joint Contract Documents Committee, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor, through a process such as highlighting or "track changes" (redline/strikeout), or in the Supplementary Conditions.

ARTICLE 9—MISCELLANEOUS

9.01 Terms

Terms used in this Agreement will have the meanings stated in the General Conditions

9.02 Severability

Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement (Contract/Resolution Number 83727). One counterpart has been delivered to Owner, and one counterpart delivered to Contractor. All portions of the Contract Documents have been signed or have been identified by Owner and Contractor or on their behalf.

This Agreement will be effective on _____, _____ (which is the Effective Date of the Agreement).

CONTRACTOR:

Underground Contractor's Inc

OWNER:

CITY OF FORT WAYNE

BY: _____
(Name)

BY: _____
THOMAS C. HENRY, MAYOR

TITLE: _____

DATE: _____
(Date signed by Contractor)

Address for giving notices:

BOARD OF STORMWATER MANAGEMENT

BY: _____
MATTHEW WIRTZ, CHAIR

BY: _____
SHAN GUNAWARDENA, MEMBER

BY: _____
CHRIS GUERRERO, MEMBER

ATTEST: _____
MICHELLE FULK-VONDRAN, CLERK

DATE: _____
(Date signed by Board)

ACKNOWLEDGMENT (CONTRACTOR)

STATE OF _____)

SS:)

COUNTY OF _____)

BEFORE ME, a Notary Public, in and for said County and State, this _____ day of _____, _____, personally appeared the within named _____ who under penalty of perjury says that he is the _____ of _____ and as such duly authorized to execute the foregoing instrument and acknowledged the same as the voluntary act and deed of _____ for the uses and purposes therein set forth.

IN WITNESS WHEREOF, hereunto subscribed my name, affixed my official seal.

Notary Public

Printed Name of Notary

My Commission Expires:

Resident of _____ County

ACKNOWLEDGMENT (OWNER)

STATE OF INDIANA)

SS:)

COUNTY OF ALLEN)

BEFORE ME, a Notary Public, in and for said County and State, this _____ day of _____, _____, personally appeared the within named Thomas C. Henry, Matthew Wirtz, Shan Gunawardena, Chris Guerrero and Michelle Fulk-Vondran, by me personally known, who being by me duly sworn said that they are respectively the Mayor of the City of Fort Wayne, and Chairman, Members, and Clerk of the Board of Stormwater Management of the City of Fort Wayne, Indiana, and that they signed said instrument on behalf of the City of Fort Wayne, Indiana, with full authority so to do and acknowledge said instrument to be in the voluntary act and deed of said City for the uses and purposes therein set forth.

IN WITNESS WHEREOF, hereunto subscribed my name, affixed my official seal.

Notary Public

Printed Name of Notary

My Commission Expires:

Resident of _____ County

CITY OF FORT WAYNE, INDIANAUnderground Contractors, Inc.
(Vendor Name)**VENDOR DISCLOSURE STATEMENT RELATING TO:**

1. FINANCIAL INTERESTS;
2. POTENTIAL CONFLICTS OF INTEREST;
3. CURRENT AND PENDING CONTRACTS OR PROCUREMENTS

Vendors desiring to enter into certain contracts with the City of Fort Wayne, Indiana (the "City") shall disclose their financial interests, potential conflicts of interest and current and pending contract or procurement information as set forth below.

The following disclosures by Vendors are required for all contracts with annual payments by the City in the amount of \$50,000 or more. Vendors shall disclose their financial interests, potential conflicts of interest and other contract and procurement information identified in Sections 1, 2 and 3 below as a prerequisite for consideration for a contract awarded by the City. This Disclosure Statement must be completed and submitted together with the Vendor's contract, bid, proposal or offer.

A publicly traded entity may submit its current 10K disclosure filing in satisfaction of the disclosure requirements set forth in Sections 1 and 2 below.

Section 1: Disclosure of Financial Interest in Vendor

- a. If any individuals have either of the following financial interests in Vendor (or its parent), please check all that apply and provide their names and addresses (attach additional pages as necessary):

(i) Equity ownership exceeding 5% ☐

(ii) Distributable income share exceeding 5% ☐

(iii) Not Applicable (If N/A, go to Section 2) ☒

Name: _____ Name: _____

Address: _____ Address: _____

- b. For each individual listed in Section 1a. show his/her type of equity ownership:

sole proprietorship ☐ stock ☐
partnership interest ☐ units (LLC) ☐
other (explain) _____

- c. For each individual listed in Section 1a. show the percentage of ownership interest in Vendor (or its parent):
ownership interest:

Name: _____ %

Name: _____ %

Section 2: Disclosure of Potential Conflicts of Interest (not applicable for vendors who file a 10K)

For each individual listed in Section 1a, check "Yes" or "No" to indicate which, if any, of the following potential conflict of interest relationships apply. If "Yes", please describe using space under applicable subsection (attach additional pages as necessary):

- a. City employment, currently or in the previous 3 years, including contractual employment for services:

Yes _____ No ☒

- b. City employment of "Member of Immediate Family" (defined herein as: Spouse, Child, Step Child, Parent or Step Parent, Father-in-law or Mother-in-law, Brother or Sister, Step Brother or Step Sister, Half Brother or Half Sister, Brother-in-law or Sister-in-law, Son-in-law or Daughter-in-law, Grandparent or Step Grandparent, Grandparent or Step Grandparent of Spouse, Grandchild)

Including contractual employment for services in the previous 3 years:

Yes _____ No ☒

- c. Relationship to Member of Immediate Family holding elective City office currently or in the previous 3 years: Yes _____ No ☒

Section 3: DISCLOSURE OF OTHER CONTRACT AND PROCUREMENT RELATED INFORMATION

- a. Does Vendor have current contracts (including leases) with the City? Yes _____ No ☒

If "Yes", identify each current contract with descriptive information including purchase order or contract reference number, contract date and City contact below (attach additional pages as necessary).

- b. Does Vendor have pending contracts (including leases), bids, proposals, or other pending procurement relationship with the City? Yes _____ No ☒

If "Yes", identify each pending matter with descriptive information including bid or project number, contract date and City contact using space below (attach additional pages as necessary).

- c. Does vendor have any existing employees that are also employed by the City of Fort Wayne?

Yes _____ No ☒

If "Yes", provide the employee's name, current position held at vendor, and employment payment terms (hourly, salaried, commissioned, etc.).

Name / Position / Payment Terms:

Name / Position / Payment Terms:

Name / Position / Payment Terms:

- d. Does vendor's representative, agent, broker, dealer or distributor (if applicable) have any existing employees that are also employed by the City of Fort Wayne? For each instance, please provide the name of the representative, agent, broker, dealer or distributor; the name of the City employee, and the payment terms (hourly, salaried, commissioned, etc.).

Company / Name / Payment Terms: _____

Company / Name / Payment Terms: _____

Section 4: CERTIFICATION OF DISCLOSURES

In connection with the disclosures contained in Sections 1, 2 and 3 Vendor hereby certifies that, except as described in attached Schedule A:

- a. Vendor (or its parent) has not, within the five (5) year period preceding the date of this Disclosure Statement, been debarred, suspended, proposed for debarment declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. No officer or director of Vendor (or its parent) or individual listed in Section 1a. is presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any offense;
- c. Vendor (or its parent) has not, within the five (5) year period preceding the date of this Disclosure Statement, had one or more public transactions (federal, state or local) terminated for cause or default;
- d. No officer or director of Vendor (or its parent) or individual listed in Section 1a. has, within the five (5) year period preceding the date of this Disclosure Statement, been convicted, adjudged guilty, or found liable in any criminal or civil action instituted by the City, the federal or state government or any other unit of local government; and
- e. Neither Vendor, nor its parent, nor any affiliated entity of Vendor, or any of their respective officers, directors, or individuals listed in Section 1a. is barred from contracting with any unit of any federal, state or local government as a result of engaging in or being convicted of: (i) bid-rigging; (ii) bid-rotating; or (iii) any similar federal or state offense that contains the same

elements as the offense of bid-rigging or bid-rotating

- I. Pursuant to IC 5-22-16.5, Vendor hereby certifies they do NOT provide \$20 million dollars or more in goods or services to the energy sector of Iran. Vendor also certifies it is not a financial institution that extends \$20 million dollars or more in credit that will provide goods or services to the energy sector of Iran or extends \$20 million dollars or more in credit to a person identified on the list as a person engaging in investment activities in Iran.

The disclosures contained Sections 1, 2 and 3 and the foregoing Certifications are submitted by

Underground Contractors, Inc.
(Name of Vendor)

6207 Milan Center Road
Address New Haven, CT 06524
(203) 493-3037
Telephone
magale@managementandtax
E-Mail Address services.com

The individual authorized to sign on behalf of Vendor represents that he/she: (a) is fully informed regarding the matters pertaining to Vendor and its business; (b) has adequate knowledge to make the above representations and disclosures concerning Vendor; and (c) certifies that the foregoing representations and disclosures are true and accurate to the best of his/her knowledge and belief.

Name (Printed) David Schwartz Title V.P.
Signature David Schwartz Date 3-12-2020

NOTE: FAILURE TO COMPLETE AND RETURN THIS FORM WITH YOUR DOCUMENTATION MAY RESULT IN YOUR CONTRACT, OFFER, BID OR PROPOSAL BEING DISQUALIFIED FROM CONSIDERATION.

Interoffice Memo

Date: October 20, 2020
To: Common Council Members
From: Michael Kiester, Manager, City Utilities Engineering
RE: Diane Drive Drainage Improvements and Water Main Replacement
83727

Michael Kiester
10.20.2020

Council District # 1

The contractor shall furnish all labor, insurance, equipment, materials and power for the completion of the project. The project is to install approximately 2,250 LF of storm sewer, 30 drainage structures, and approximately 2,000 LF of roadside swales. The project includes the replacing approximately 1,300 LF of water main and appurtenances along Diane Drive.

Implications of not being approved: The single access road will continue to experience flooding and standing water. Currently, residents are limited from entering or leaving their homes during rain events. Also, the water main will continue to age and deteriorate. The water main has a history of breaking and causes disruptions to adequate water supply and fire protections. Without this project being approved, flooding as well as the availability of drinking water and fire protections will continue to be interrupted causing safety issues for residents.

If Prior Approval is being Requested, Justify: N/A

This project was advertised to contractors on 9/24/2020, and 10/1/2020 in the Journal Gazette.

The contract for Resolution # 83727 awarded to Underground Contractor's Inc for \$379,623.00 was the lowest most responsive bidder of six bidders and 19% below the Engineer's estimate of \$465,300.00. The second lowest bidder was \$5,061.25 above Underground Contractor's Inc.

The cost of said project funded Water Revenue, and Stormwater Revenue.

Council Introduction Date: 10/27/2020

CC: Matthew Wirtz
Diane Brown
File